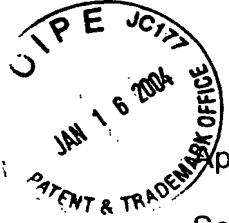


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Image



500.41086X00/W0042-01EO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Atsushi YOKOYAMA *et al.*
Serial No. : 10/047,106
Filed : 17 January 2002
For : ELECTRICALLY DRIVEN BRAKE DEVICE
AND CONTROL APPARATUS THEREOF
Art Unit : 3683
Examiner : R. Siconolfi
Confirm No. : 5729

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
POB 1450
Alexandria, Virginia 22313-1450

16 January 2004

Sir:

In the matter of the above-identified application, Applicant hereby submits the attached information for consideration by the Office under 37 C.F.R. §§1.97 and 1.98 in the present application, and for printing on the face of any patent issuing hereon, as listed on the attached Form PTO-1449.

Also attached is a copy of a European (EP) Search Report (SR) dated 6 November 2003 which issued in a foreign application corresponding to the present US case. Applicant states that each of the following references: WO 01/62569 A1; EP 1 283 139 A2; DE 35 02 100 A1; and US 5,129,713 A, were first cited in the attached EP SR not more than three (3) months prior to the filing of this Statement.

Further attached is a copy of the article by Huang *et al.*, "Automotive Electrical System in the New Millennium," *Soc. of Automotive Engrs.*, SAE Paper No.

01/20/2004 WBRHAM1 00000069 10047106 180.00 DP
01 FC:1806


1999-01-3747, pgs. 1-8, 1999. Such article was known to Applicant more than three (3) months prior to the filing of this Statement.

In accordance with MPEP §609IIIA(3), the information listed herein which is not in the English language was cited in the attached English-language EP SR which indicates the degree of relevance found by the foreign Office.

This Statement is being filed under 37 CFR §1.97(c), but although Applicant has submitted the statement required under §1.97(e)(1), the attached article from the *Soc. of Automotive Engrs.* does not qualify under §1.97(e)(1) or (2). Therefore, also attached is a Form PTO-2038 authorizing payment of the required fee under §1.97(c)(2) (Fee Code 1806). Please charge any actual shortage in fees to ATS&K Deposit Account No. 01-2135 (referencing Case No. 501.37471X00).

In view of the above and the attached, this Statement complies with all of the requirements of §§1.97 and 1.98, and Applicant respectfully requests entry and consideration of the information, and return of a copy of the attached Form PTO-1449 bearing the Examiner's initials to indicate same.

Respectfully submitted,



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Telephone 703-312-6600
Facsimile 703-312-6666

ATTACHMENTS:

Copy of EP SR
5 References
Form PTO-1449
Form PTO-2038 (Code 1806)

Form PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

500.41086X00

SERIAL NO.

10/047,106

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(Use several sheets if necessary)

APPLICANT

Atsushi YOKOYAMA *et al.*

FILING DATE

17 January 2002

GROUP

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U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	5,129,713	07/14/92	Matsuda			
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/Abstract	
						Yes	No
AM	35 02 100 A1	07/31/1986	DE				
AN	01/62569 A1	08/30/2001	WO				
AO	1 283 139 A2	02/12/2003	EP				
AP							
AQ							
AR							
AS							
AT							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AU	Huang <i>et al.</i> , "Automotive Electrical System in the New Millennium," <i>Society of Automotive Engineers</i> , SAE Paper No. 1999-01-3747, pgs. 1-8, 1999.
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Examiner	
Date Considered	

